



Serial No. 10/065,460

Docket No. 124703

2874  
JFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: RICHARD J. SAIA, ET AL

: Group Art Unit: 2874

Serial No.: 10/065,460

: Examiner:

Filed: October 21, 2002

For OPTOELECTRONIC PACKAGE AND  
FABRICATION METHOD

:

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

- ☐ 1 **Pursuant to 37 CFR 1.97(b)**  
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☒ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**  
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**  
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**  
[On or before issue fee payment] *\$180.00*

ATTN: MAILSTOP PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
ALEXANDRIA, VA 22313-1450

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 & 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on the date indicated below.	
6/18/04	Date of Deposit
Virgil D. Brandon	Type or Print Name
	Signature

This paper is submitted in accordance with:

- ☐ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☒ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☒ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR §1.97(d): [On or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☒ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0868. An original and two (2) copies of this document are enclosed.

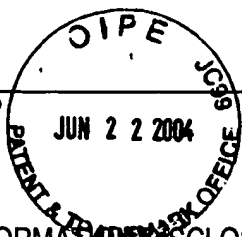
Respectfully submitted,

17 June 04  
Date

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FORM PTO-1449  
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PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
124703SERIAL NO.  
10/065,460INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
LIST OF ITEMSApplicant  
RICHARD J. SAIA, ET ALFiling Date  
10/21/02Group  
2874

## U.S. PATENT AND PUBLICATION DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA US2002/0039464	4/4/02	YOSHIMURA, ET AL			
	AB					
	AC					
	AD					
	AE					

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	B1 JP2000235127	8/29/00	PATENT ABSTRACTS OF JAPAN			
	B2					
	B3					

## OTHER INFORMATION (Including Author, Title, Date, Pertinent Pages, etc.)

C1	Y. S. LIU, ET AL, "OPTOELECTRONIC PACKAGING AND POLYMER WAVEGUIDES FOR MULTICHIP MODULE AND BOARD-LEVEL OPTICAL INTERCONNECT APPLICATIONS", 1995 IEEE, PP. 185-188.
C2	A COPY OF PCT SEARCH REPORT DATED 5/4/04 IS ENCLOSED.
C3	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant